



TEST REPORT

Applicant :

Address :

Report on the submitted samples said to be:

Sample Name(s) : Li-ion Battery

Trade Mark : N/A

Tested Model No. : 602040

Sample Type : Portable battery

Sample Received Date : May 14, 2025

Testing Period : May 14, 2025 ~ May 19, 2025

Date of Report : May 19, 2025

Testing Location : 901, No.40 Building, Xialang Industrial Zone, Heshuikou Community,
Matian Street, Guangming District, Shenzhen, Guangdong, China

Results : Please refer to next page(s).

TEST REQUEST	CONCLUSION
As specified by client, to determine Lead(Pb), Cadmium(Cd) and Mercury(Hg) Content in the submitted sample according to Article 6(1) of Regulation (EU) 2023/1542 and its Annex I.	PASS

Signed for and on behalf of LCS



TRF-4-R-034 A/0



Shenzhen LCS Compliance Testing Laboratory Ltd.
Add: 901, No.40 Building, Xialang Industrial Zone, Heshuikou Community, Matian Street, Guangming District,
Shenzhen, Guangdong, China
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Scan code to check authenticity

**Tested part(s):**

1. Battery

Test method: With reference to IEC 62321-5:2013 & IEC 62321-4:2013+AMD1:2017 CSV, by acid digestion and analysis was performed by inductively coupled plasma optical emission spectrometer (ICP-OES).

Tested result(s):

Test Item(s)	MDL (%)	Test Result(s) (%)	Limit (%)
		1	
Lead(Pb) Content	0.0005	N.D.	0.01
Cadmium(Cd) Content	0.0005	N.D.	0.002
Mercury(Hg) Content	0.0005	N.D.	0.0005

Note:

Column 1 Designation of the substance or group of substances	Column 2 Conditions of restriction
1. Mercury CAS No 7439-97-6 EC No 231-106-7 and its compounds	Batteries, whether or not incorporated into appliances, light means of transport or other vehicles, shall not contain more than 0,0005 % of mercury (expressed as mercury metal) by weight.
2. Cadmium CAS No 7440-43-9 EC No 231-152-8 and its compounds	Portable batteries, whether or not incorporated into appliances, light means of transport or other vehicles, shall not contain more than 0,002 % of cadmium (expressed as cadmium metal) by weight.
3. Lead CAS No 7439-92-1 EC No 231-100-4 and its compounds	1. From 18 August 2024, portable batteries, whether or not incorporated into appliances, shall not contain more than 0,01 % of lead (expressed as lead metal) by weight. 2. The restriction set out in point 1 shall not apply to portable zinc-air button cells until 18 August 2028.

Remark:

According to Regulation (EU) 2023/1542:

※1 = This Regulation does not apply to batteries that are incorporated into or that are specifically designed to be incorporated into:

- (a) equipment connected with the protection of Member States' essential security interests, arms, munitions and war material, with the exclusion of products that are not intended for specifically military purposes;
- (b) equipment designed to be sent into space.

※2 = From 18 August 2025, all batteries shall be marked with the symbol for separate collection of batteries ('separate collection symbol')

Symbol for separate collection of batteries

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The separate collection symbol shall cover at least 3 % of the area of the largest side of the battery up to a maximum size of 5×5 cm.

In the case of cylindrical battery cells, the separate collection symbol shall cover at least 1,5 % of the surface area of the battery and shall have a maximum size of 5×5 cm.

Where the size of the battery is such that the separate collection symbol would be smaller than $0,47 \times 0,47$ cm, the battery does not need to be marked with that symbol. Instead, a separate collection symbol measuring at least 1×1 cm shall be printed on the packaging.

※3 = All batteries containing more than 0,002 % cadmium or more than 0,004 % lead, shall be marked with the chemical symbol for the metal concerned: Cd or Pb. And the relevant chemical symbol indicating the heavy metal content shall be printed beneath the separate collection symbol and shall cover an area of at least one-quarter the size of that symbol.

Note:

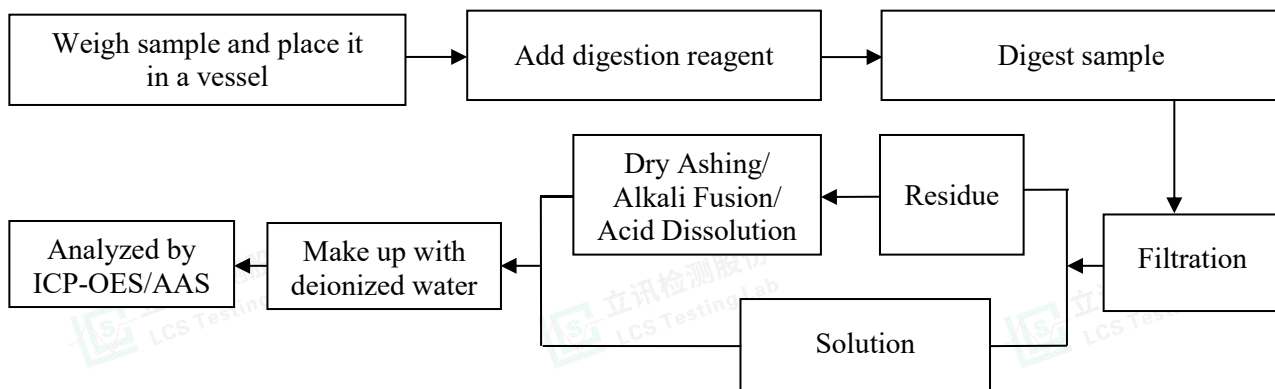
- MDL = Method Detection Limit
- N.D. = Not Detected (<MDL)



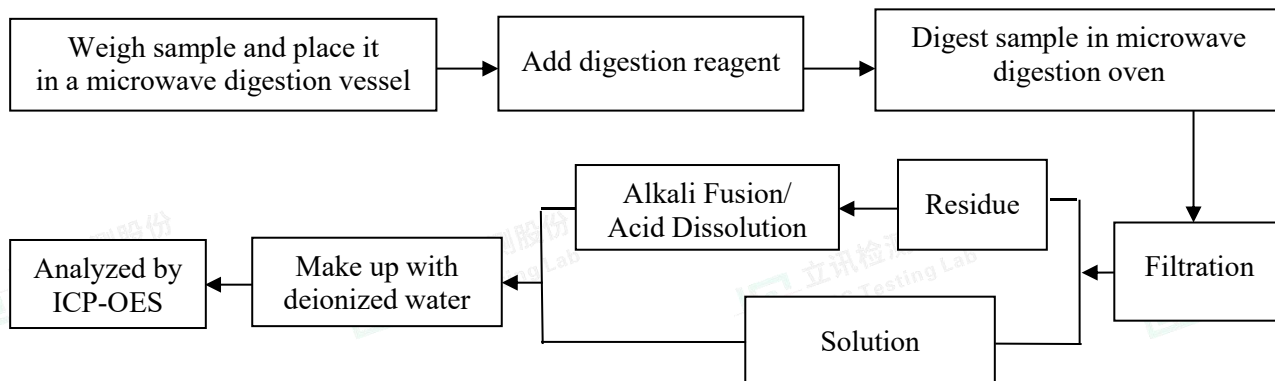


Test Process

1. Lead(Pb) & Cadmium(Cd)

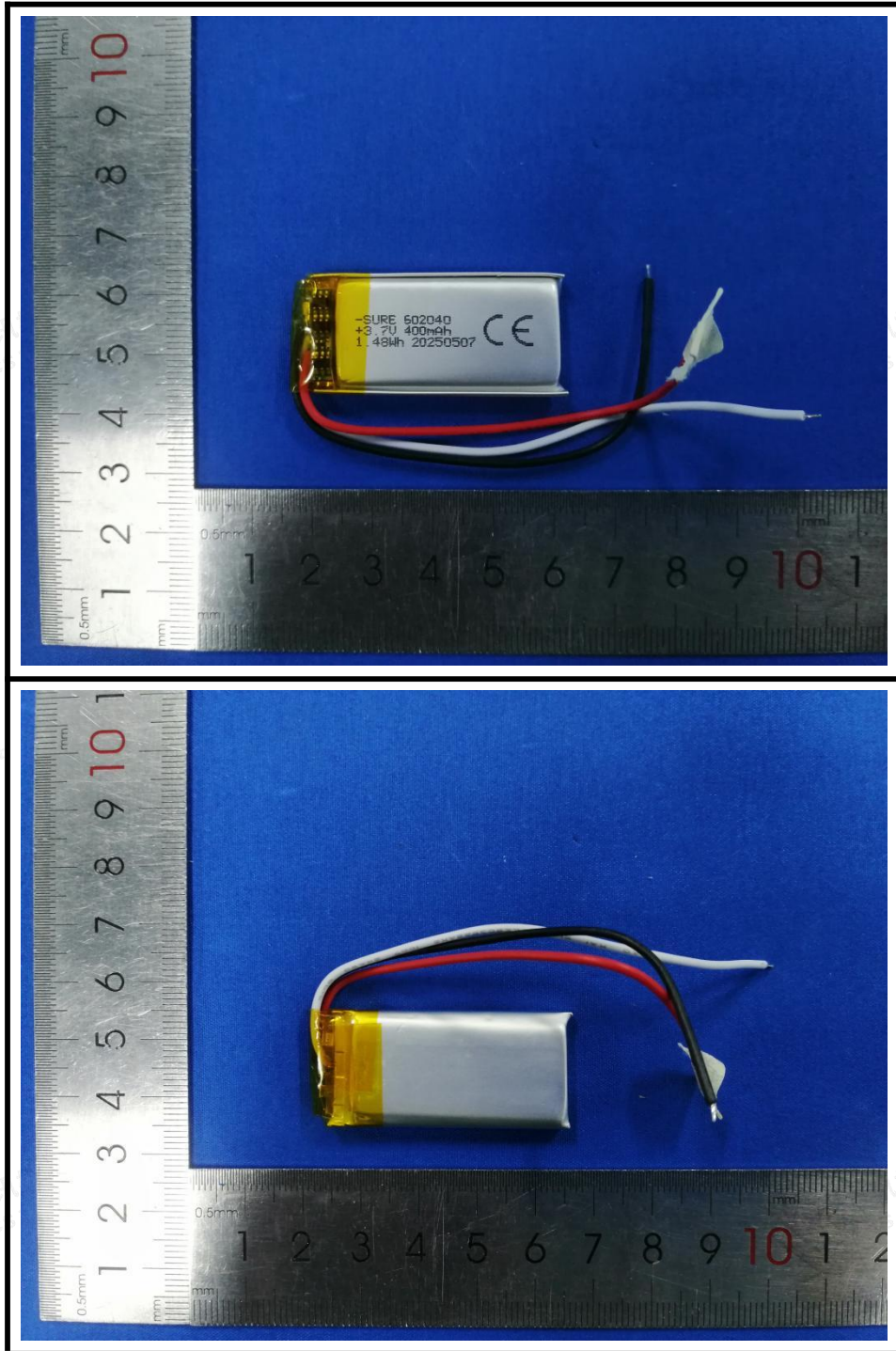


2. Mercury(Hg)





The photo(s) of the sample



TRF-4-R-034 A/0



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**Statement:**

1. The test report shall not be deemed valid unless it bears the signature of the approver and the company's official seal.
2. The company name, address, and sample information presented in the report were provided by the applicant, who is solely responsible for their authenticity, which LCS has not verified.
3. The test results included in this report are solely accountable for the tested samples.
4. The reproduction of any part of this report is strictly prohibited without LCS's prior written consent.
5. In cases of any discrepancies between the Chinese and English versions of this report, the Chinese version shall take precedence.

*** End of Report ***





TEST REPORT

IEC 62133-2

Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications – Part 2: Lithium systems

Report Number.....: LCSA05095121S

Date of issue.....: 2025-05-30

Total number of pages.....: 25 pages

Applicant's name.....:

Address.....:

Test specification:

Standard.....: IEC 62133-2:2017, IEC 62133-2:2017/AMD1:2021

Test procedure.....: Type Test

Non-standard test method.....: N/A

TRF template used.....: IECEE OD-2020-F1:2021, Ed.1.4

Test Report Form No.....: IEC62133_2C

Test Report Form(s) Originator.....: DEKRA Certification B.V.

Master TRF.....: Dated 2022-07-01

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General disclaimer:

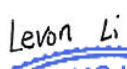
The test results presented in this report relate only to the object tested.

This report shall not be reproduced, except in full, without the written approval of Shenzhen LCS Compliance Testing Laboratory Ltd. The authenticity of this Test Report and its contents can be verified by contacting the Shenzhen LCS Compliance Testing Laboratory Ltd., responsible for this Test Report.

Test item description.....:	Li-ion Battery
Trade Mark.....:	N/A
Manufacturer.....:	Same as applicant
adModel/Type reference.....:	602040
Ratings.....:	3.7V, 400mAh, 1.48Wh



**Responsible Testing Laboratory (as applicable), testing procedure and testing location(s):**

☒	Testing Laboratory:	Shenzhen LCS Compliance Testing Laboratory Ltd.	
Testing location/ address..... :		Room 101, 201, Building A and Room 301, Building C, Juji Industrial Park, Yabianxueziwei, Shajing Street, Bao'an District, Shenzhen, Guangdong, China Room 101, Building C, Xueziwei Creative Park, Yabian Community, Shajing Street, Bao'an District, Shenzhen, Guangdong, China	
Tested by (name, signature)..... :		Levon Li	
Checked by(name, signature)..... :		Doris Ye	
Approved by (name, signature)..... :		Hart Qiu	
			
☐	Testing procedure: CTF Stage 1:		
Testing location/ address..... :			
Tested by (name, function, signature)..... :			
Approved by (name, function, signature)... :			
☐	Testing procedure: CTF Stage 2:		
Testing location/ address..... :			
Tested by (name + signature)..... :			
Witnessed by (name, function, signature).. :			
Approved by (name, function, signature)... :			
☐	Testing procedure: CTF Stage 3:		
☐	Testing procedure: CTF Stage 4:		
Testing location/ address..... :			
Tested by (name, function, signature)..... :			
Witnessed by (name, function, signature).. :			
Approved by (name, function, signature)... :			
Supervised by (name, function, signature) :			



**List of Attachments (including a total number of pages in each attachment):**

Attachment 1: Photo Documentation (3 pages).

Summary of testing:**Tests performed (name of test and test clause):**

cl.7.1 Charging procedure for test purposes (for Cells and Batteries);

cl.7.2.1 Continuous charging at constant voltage (Cells);

cl.7.2.2 Case stress at high ambient temperature (Batteries);

cl.7.3.1 External short-circuit (Cells);

cl.7.3.2 External short-circuit (Batteries);

cl.7.3.3 Free fall (Cells and Batteries);

cl.7.3.4 Thermal abuse (Cells);

cl.7.3.5 Crush (Cells);

cl.7.3.6 Over-charging of battery;

cl.7.3.7 Forced discharge (Cells);

cl.7.3.8 Mechanical tests (Batteries);

cl.7.3.9 Design evaluation – Forced internal short-circuit (cells).

Remark:

cl.7.3.8 Mechanical tests place in the Room 101, Building C, Xueziwei Creative Park, Yabian Community, Shajing Street, Bao'an District, Shenzhen, Guangdong, China

Tests are made with the number of cells and batteries specified in IEC 62133-2:2017, IEC62133-2:2017/AMD1:2021 Table 1.

Testing location:**Shenzhen LCS Compliance Testing Laboratory Ltd.**

Room 101, 201, Building A and Room 301, Building C, Juji Industrial Park, Yabianxueziwei, Shajing Street, Bao'an District, Shenzhen, Guangdong, China

Room 101, Building C, Xueziwei Creative Park, Yabian Community, Shajing Street, Bao'an District, Shenzhen, Guangdong, China

Summary of compliance with National Differences (List of countries addressed): N/A

☒ **The product fulfils the requirements of EN 62133-2:2017, EN 62133-2:2017/AMD1:2021 and BS EN 62133-2:2017+A1:2021**



**Use of uncertainty of measurement for decisions on conformity (decision rule):**

☒ No decision rule is specified by the IEC standard, when comparing the measurement result with the applicable limit according to the specification in that standard. The decisions on conformity are made without applying the measurement uncertainty ("simple acceptance" decision rule, previously known as "accuracy method").

☐ Other:... (to be specified, for example when required by the standard or client, or if national accreditation requirements apply)

Information on uncertainty of measurement:

The uncertainties of measurement are calculated by the laboratory based on application of criteria given by OD-5014 for test equipment and application of test methods, decision sheets and operational procedures of IECEE.

IEC Guide 115 provides guidance on the application of measurement uncertainty principles and applying the decision rule when reporting test results within IECEE scheme, noting that the reporting of the measurement uncertainty for measurements is not necessary unless required by the test standard or customer.

Calculations leading to the reported values are on file with the NCB and testing laboratory that conducted the testing.



**Copy of marking plate:**

The artwork below may be only a draft. The use of certification marks on a product must be authorized by the respective NCBs that own these marks.

Red (+) Black (-)

Li-ion Battery

Model: 602040

3.7V, 400mAh, 1.48Wh

1ICP6/21/41 YYYY/MM/DD

WARNING:

Do not disassemble, puncture, crush, heat, or burn.

Remark:

1.“YYYY” means year for manufacture;

“MM” means month for manufacture;

“DD” means day for manufacture.

2.The applicant and manufacturer information, product name, model, trademark and other information in this report are all provided by the applicant, and this laboratory is not responsible for verifying its authenticity.





Test item particulars.....:	
Classification of installation and use.....:	To be defined in final product
Supply Connection.....:	DC lead wire
Recommend charging method declared by the manufacturer.....:	Charging the battery with 200mA constant current and 4.2V constant voltage until the current reduces to 4mA at ambient 20°C±5°C
Discharge current (0,2 It A).....:	80mA
Specified final voltage.....:	2.75V
Upper limit charging voltage per cell.....:	4.2V
Maximum charging current.....:	400mA
Charging temperature upper limit.....:	45°C
Charging temperature lower limit.....:	0°C
Polymer cell electrolyte type.....:	☐ gel polymer ☐ solid polymer ☒ N/A
Possible test case verdicts:	
- test case does not apply to the test object.....:	N/A
- test object does meet the requirement.....:	P (Pass)
- test object does not meet the requirement.....:	F (Fail)
Testing.....:	
Date of receipt of test item.....:	2025-05-09
Date (s) of performance of tests.....:	2025-05-09 to 2025-05-23
General remarks:	
The test results presented in this report relate only to the object tested. This report shall not be reproduced, except in full, without the written approval of the Issuing testing laboratory. "(See Enclosure #)" refers to additional information appended to the report. "(See appended table)" refers to a table appended to the report. Throughout this report a ☐ comma / ☒ point is used as the decimal separator.	
Manufacturer's Declaration per sub-clause 4.2.5 of IEC60335-1:	
The application for obtaining a CB Test Certificate includes more than one factory location and a declaration from the Manufacturer stating that the sample(s) submitted for evaluation is (are) representative of the products from each factory has been provided.....:	☐ Yes ☒ Not applicable
When differences exist; they shall be identified in the General product information section.	
Name and address of factory (ies).....:	Same as manufacturer



**General product information and other remarks:**

This battery is constructed with one li-ion cell in 1S1P, and has overcharge, over-discharge, over current and short-circuits proof circuit.

The main features of the battery are shown as below (clause 7.1.1):

Model	Nominal capacity	Nominal voltage	Nominal Charge Current	Nominal Discharge Current	Maximum Charge Current	Maximum Discharge Current	Maximum Charge Voltage	Cut-off Voltage
602040 (Battery)	400mAh	3.7V	200mA	80mA	400mA	400mA	4.2V	2.75V

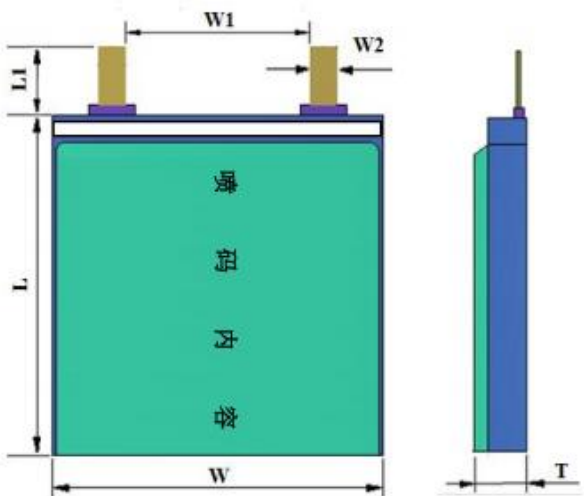
The main features of the cell in the battery are shown as below (clause 7.1.1):

Model	Nominal capacity	Nominal voltage	Nominal Charge Current	Nominal Discharge Current	Maximum Charge Current	Maximum Discharge Current	Maximum Charge Voltage	Cut-off Voltage
602040 (Cell)	400mAh	3.7V	200mA	80mA	400mA	400mA	4.2V	2.75V

The main features of the cell in the battery are shown as below (clause 7.1.2):

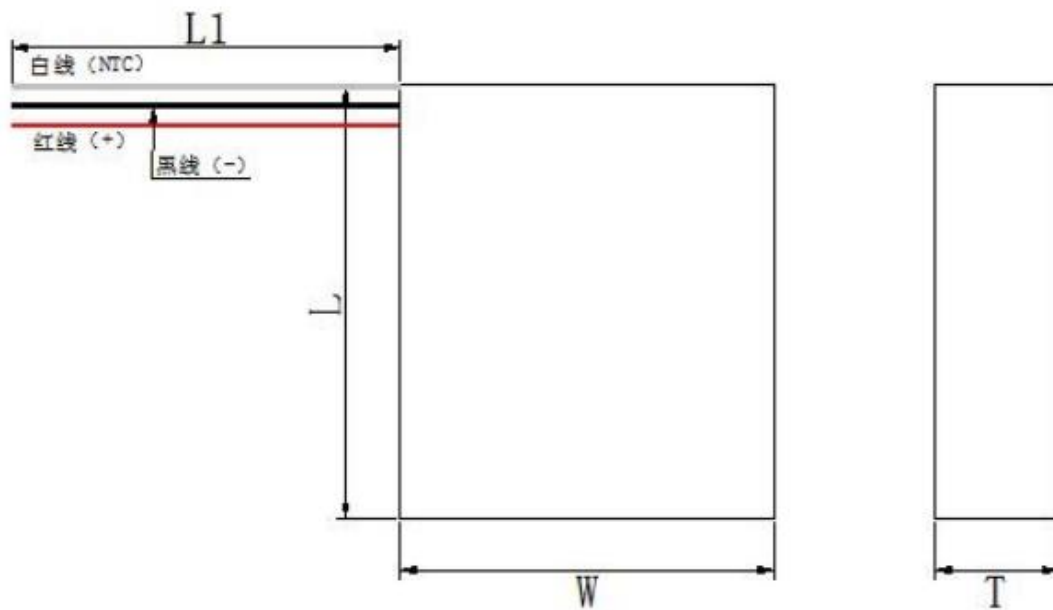
Model	Upper limit charge voltage	Taper-off current	Lower charge temperature	Upper charge temperature
602040 (Cell)	4.2V	20mA	0°C	45°C



**Construction:**

T=6.0mm(max), W=20.2mm(max), L=40.5mm(max)

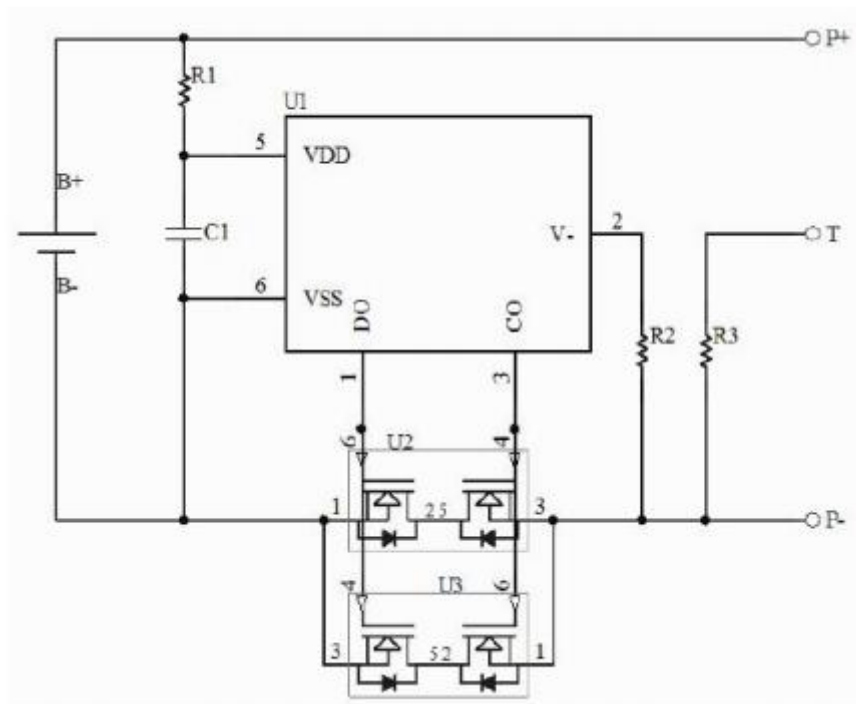
Cell



T=6.5mm(max), W=22.5mm(max), L=44.5mm(max)

Battery



**Circuit diagram:**



IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
4	PARAMETER MEASUREMENT TOLERANCES		P
	Parameter measurement tolerances		P
5	GENERAL SAFETY CONSIDERATIONS		P
5.1	General		P
	Cells and batteries so designed and constructed that they are safe under conditions of both intended use and reasonably foreseeable misuse		P
5.2	Insulation and wiring		P
	The insulation resistance between the positive terminal and externally exposed metal surfaces of the battery (excluding electrical contact surfaces) is not less than 5 MΩ	No metal surface exists.	N/A
	Insulation resistance (MΩ) :		—
	Internal wiring and insulation are sufficient to withstand maximum anticipated current, voltage and temperature requirements		P
	Orientation of wiring maintains adequate clearances and creepage distances between conductors		P
	Mechanical integrity of internal connections accommodates reasonably foreseeable misuse		P
5.3	Venting		P
	Battery cases and cells incorporate a pressure relief mechanism or are constructed so that they relieve excessive internal pressure at a value and rate that will preclude rupture, explosion and self-ignition	Venting mechanism exists on narrow side of pouch cell.	P
	Encapsulation used to support cells within an outer casing does not cause the battery to overheat during normal operation nor inhibit pressure relief		N/A
5.4	Temperature, voltage and current management		P
	Batteries are designed such that abnormal temperature rise conditions are prevented	Overcharge, over discharge, over current and short-circuit proof circuit used in this battery. See tests of clause 7.	P
	Batteries are designed to be within temperature, voltage and current limits specified by the cell manufacturer	See above.	P
	Batteries are provided with specifications and charging instructions for equipment manufacturers so that specified chargers are designed to maintain charging within the temperature, voltage and current limits specified	The charging limits specified in the manufacturer's specification.	P
5.5	Terminal contacts		P
	The size and shape of the terminal contacts ensure that they can carry the maximum anticipated current	DC lead wire complied with the requirements.	P
	External terminal contact surfaces are formed from conductive materials with good mechanical strength and corrosion resistance	Complied.	P





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
	Terminal contacts are arranged to minimize the risk of short circuits		P
5.6	Assembly of cells into batteries		P
5.6.1	General		P
	Each battery has an independent control and protection for current, voltage, temperature and any other parameter required for safety and to maintain the cells within their operating region	Protective circuit equipped on battery.	P
	This protection may be provided external to the battery such as within the charger or the end devices		N/A
	If protection is external to the battery, the manufacturer of the battery provide this safety relevant information to the external device manufacturer for implementation		N/A
	If there is more than one battery housed in a single battery case, each battery has protective circuitry that can maintain the cells within their operating regions	Single cell battery.	N/A
	Manufacturers of cells specify current, voltage and temperature limits so that the battery manufacturer/designer may ensure proper design and assembly	Current, voltage and temperature limits specified by cell manufacturer.	P
	Batteries that are designed for the selective discharge of a portion of their series connected cells incorporate circuitry to prevent operation of cells outside the limits specified by the cell manufacturer		N/A
	Protective circuit components are added as appropriate and consideration given to the end-device application		P
	The manufacturer of the battery provide a safety analysis of the battery safety circuitry with a test report including a fault analysis of the protection circuit under both charging and discharging conditions confirming the compliance	Safety analysis report provided by manufacturer.	P
5.6.2	Design recommendation		P
	For the battery consisting of a single cell or a single cellblock, it is recommended that the charging voltage of the cell does not exceed the upper limit of the charging voltage specified in Table 2	Max. charging voltage of battery: 4.2V, not exceed 4.2V specified by manufacturer in Table 2.	P
	For the battery consisting of series-connected plural single cells or series-connected plural cellblocks, it is recommended that the voltages of any one of the single cells or single cellblocks does not exceed the upper limit of the charging voltage, specified in Table 2, by monitoring the voltage of every single cell or the single cellblocks		N/A





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
	For the battery consisting of series-connected plural single cells or series-connected plural cellblocks, it is recommended that charging is stopped when the upper limit of the charging voltage is exceeded for any one of the single cells or single cellblocks by measuring the voltage of every single cell or the single cellblocks		N/A
	For batteries consisting of series-connected cells or cell blocks, nominal charge voltage are not counted as an overcharge protection		N/A
	For batteries consisting of series-connected cells or cell blocks, cells have closely matched capacities, be of the same design, be of the same chemistry and be from the same manufacturer		N/A
	It is recommended that the cells and cell blocks are not discharged beyond the cell manufacturer's specified final voltage	Final voltage of cell: 2.75V, not exceed the final voltage specified by cell manufacturer.	P
	For batteries consisting of series-connected cells or cell blocks, cell balancing circuitry are incorporated into the battery management system		N/A
5.6.3	Mechanical protection for cells and components of batteries		P
	Mechanical protection for cells, cell connections and control circuits within the battery are provided to prevent damage as a result of intended use and reasonably foreseeable misuse	Mechanical protection for cell connections and control circuits provided.	P
	The mechanical protection can be provided by the battery case or it can be provided by the end product enclosure for those batteries intended for building into an end product	Mechanical protection for cells provided by the battery case.	P
	The battery case and compartments housing cells are designed to accommodate cell dimensional tolerances during charging and discharging as recommended by the cell manufacturer	To be evaluated in final system.	N/A
	For batteries intended for building into a portable end product, testing with the battery installed within the end product is considered when conducting mechanical tests		N/A
5.7	Quality plan		P
	The manufacturer prepares and implements a quality plan that defines procedures for the inspection of materials, components, cells and batteries and which covers the whole process of producing each type of cell or battery	Complied. Quality plan provided.	P
5.8	Battery safety components	See TABLE: Critical components information	P





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
6	TYPE TEST AND SAMPLE SIZE		P
	Tests are made with the number of cells or batteries specified in Table 1 using cells or batteries that are not more than six months old		P
	The internal resistance of coin cells are measured in accordance with Annex D. Coin cells with internal resistance less than or equal to 3 Ω are tested in accordance with Table 1	Not coin cells	N/A
	Unless otherwise specified, tests are carried out in an ambient temperature of 20 °C $\pm$ 5 °C		P
	The safety analysis of 5.6.1 identify those components of the protection circuit that are critical for short-circuit, overcharge and over discharge protection		P
	When conducting the short-circuit test, consideration is given to the simulation of any single fault condition that is likely to occur in the protecting circuit that would affect the short-circuit test	See clause 7.3.2.	P

7	SPECIFIC REQUIREMENTS AND TESTS		P
7.1	Charging procedure for test purposes		P
7.1.1	First procedure		P
	This charging procedure applies to subclauses other than those specified in 7.1.2		P
	Unless otherwise stated in this document, the charging procedure for test purposes is carried out in an ambient temperature of 20 °C $\pm$ 5 °C, using the method declared by the manufacturer	See page 6.	P
	Prior to charging, the battery has been discharged at 20 °C $\pm$ 5 °C at a constant current of 0,2 It A down to a specified final voltage	See page 6.	P
7.1.2	Second procedure		P*
	This charging procedure applies only to 7.3.1, 7.3.4, 7.3.5, and 7.3.9		P
	After stabilization for 1 h to 4 h, at an ambient temperature of the highest test temperature and the lowest test temperature, respectively, as specified in Table 2, cells are charged by using the upper limit charging voltage and maximum charging current, until the charging current is reduced to 0,05 It A, using a constant current to constant voltage charging method	Charge temperature 0~45°C declared. 45°C used for upper limit tests, 0°C used for lower limit tests.	P
7.2	Intended use		P
7.2.1	Continuous charging at constant voltage (cells)	Tested as client requested.	P
	Fully charged cells are subjected for 7 days to a charge using the charging method for current and standard voltage specified by the cell manufacturer	Charging for 7 days with 200mA	P
	Results: no fire, no explosion, no leakage :	(See appended table 7.2.1)	P
7.2.2	Case stress at high ambient temperature (battery)	Tested as client requested.	P
	Oven temperature (°C) :	70°C	—





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
	Results: no physical distortion of the battery case resulting in exposure of internal protective components and cells	No physical distortion of the battery case.	P
7.3	Reasonably foreseeable misuse		P
7.3.1	External short-circuit (cell)	Tested complied.	P
	The cells were tested until one of the following occurred:		P
	- 24 hours elapsed; or		N/A
	- The case temperature declined by 20 % of the maximum temperature rise		P
	Results: no fire, no explosion:	(See appended table 7.3.1)	P
7.3.2	External short-circuit (battery)	Tested complied.	P
	The batteries were tested until one of the following occurred:		P
	- 24 hours elapsed; or		N/A
	- The case temperature declined by 20 % of the maximum temperature rise		P
	In case of rapid decline in short circuit current, the battery pack remained on test for an additional one hour after the current reached a low end steady state condition		P
	A single fault in the discharge protection circuit is conducted on one to four (depending upon the protection circuit) of the five samples before conducting the short-circuit test	Single fault conducted on four samples.	P
	A single fault applies to protective component parts such as MOSFET (metal oxide semiconductor field-effect transistor), fuse, thermostat or positive temperature coefficient (PTC) thermistor	Single fault applies on MOSFET (U2) short circuit.	P
	Results: no fire, no explosion:	(See appended table 7.3.2)	P
7.3.3	Free fall	Tested complied.	P
	Results: no fire, no explosion	No fire. No explosion	P
7.3.4	Thermal abuse (cells)	Tested complied.	P
	Oven temperature (°C) :	130°C	—
	Results: no fire, no explosion	No fire. No explosion	P
7.3.5	Crush (cells)	Tested complied.	P
	The crushing force was released upon:		P
	- The maximum force of 13 kN ± 0,78 kN has been applied; or		P
	- An abrupt voltage drop of one-third of the original voltage has been obtained		N/A
	Results: no fire, no explosion:	(See appended table 7.3.5)	P
7.3.6	Over-charging of battery	Tested complied.	P
	The supply voltage which is:		P
	- 1,4 times the upper limit charging voltage presented in Table A.1 (but not to exceed 6,0 V) for single cell/cell block batteries or	5.88V applied.	P





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
	- 1,2 times the upper limit charging voltage resented in Table A.1 per cell for series connected multi-cell batteries, and		N/A
	- Sufficient to maintain a current of 2,0 It A throughout the duration of the test or until the supply voltage is reached		P
	Test was continued until the temperature of the outer casing:		P
	- Reached steady state conditions (less than 10 °C change in 30-minute period); or		N/A
	- Returned to ambient		P
	Results: no fire, no explosion:	(See appended table 7.3.6)	P
7.3.7	Forced discharge (cells)	Tested complied.	P
	Discharge a single cell to the lower limit discharge voltage specified by the cell manufacturer	Lower limit discharge voltage 2.75V.	P
	The discharged cell is then subjected to a forced discharge at 1 It A to the negative value of the upper limit charging voltage		P
	- The discharge voltage reaches the negative value of upper limit charging voltage within the testing duration. The voltage is maintained at the negative value of the upper limit charging voltage by reducing the current for the remainder of the testing duration		N/A
	- The discharge voltage does not reach the negative value of upper limit charging voltage within the testing duration. The test is terminated at the end of the testing duration		P
	Results: no fire, no explosion:	(See appended table 7.3.7)	P
7.3.8	Mechanical tests (batteries)		P
7.3.8.1	Vibration	Tested complied.	P
	Results: no fire, no explosion, no rupture, no leakage or venting. :	(See appended table 7.3.8.1)	P
7.3.8.2	Mechanical shock	Tested complied.	P
	Results: no leakage, no venting, no rupture, no explosion and no fire :	(See appended table 7.3.8.2)	P
7.3.9	Design evaluation – Forced internal short-circuit (cells)	Tested complied.	P
	The cells complied with national requirement for :	France, Japan, Korea, Switzerland	—
	The pressing was stopped upon:		P
	- A voltage drop of 50 mV has been detected; or		N/A
	- The pressing force of 800 N (cylindrical cells) or 400 N (prismatic cells) has been reached	400N for prismatic cells.	P
	Results: no fire :	(See appended table 7.3.9)	P
8	INFORMATION FOR SAFETY		P
8.1	General		P
	Manufacturers of secondary cells provides information about current, voltage and temperature limits of their products	Information for safety mentioned in manufacturer's specifications.	P





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
	Manufacturers of batteries provides information regarding how to minimize and mitigate hazards to equipment manufacturers or end-users	Information for safety mentioned in manufacturer's specifications.	P
	Systems analyses are performed by device manufacturers to ensure that a particular battery design prevents hazards from occurring during use of a product		N/A
	As appropriate, any information relating to hazard avoidance resulting from a system analysis is provided to the end user		N/A
	Do not allow children to replace batteries without adult supervision		N/A
8.2	Small cell and battery safety information	Not small cells and batteries	N/A
	The following warning language is to be provided with the information packaged with the small cells and batteries or equipment using them:		N/A
	- Keep small cells and batteries which are considered swallowable out of the reach of children		N/A
	- Swallowing may lead to burns, perforation of soft tissue, and death. Severe burns can occur within 2 h of ingestion		N/A
	- In case of ingestion of a cell or battery, seek medical assistance promptly		N/A
9	MARKING		P
9.1	Cell marking	The final product is battery	N/A
	Cells are marked as specified in IEC 61960, except coin cells		N/A
	Coin cells whose external surface area is too small to accommodate the markings on the cells show the designation and polarity		N/A
	By agreement between the cell manufacturer and the battery and/or end product manufacturer, component cells used in the manufacture of a battery need not be marked		N/A
9.2	Battery marking		P
	Batteries are marked as specified in IEC 61960, except for coin batteries	See marking plate on page 5.	P
	Coin batteries whose external surface area is too small to accommodate the markings on the batteries show the designation and polarity	Not coin batteries.	N/A
	Batteries are marked with an appropriate caution statement	Batteries marked with an appropriate caution statement.	P
	- Terminals have clear polarity marking on the external surface of the battery, or	The "+" and "-" polarity explicitly marked on surface of the battery.	P
	- Not be marked with polarity markings if the design of the external connector prevents reverse polarity connections		N/A





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
9.3	Caution for ingestion of small cells and batteries		N/A
	Coin cells and batteries identified as small batteries include a caution statement regarding the hazards of ingestion in accordance with 8.2	Not coin cells and batteries.	N/A
	Small cells and batteries are intended for direct sale in consumer-replaceable applications, caution for ingestion is given on the immediate package	Not intended for direct sale.	N/A
9.4	Other information		P
	The following information are marked on or supplied with the battery:	Information for storage and disposal instructions mentioned in manufacturer's specifications.	P
	- Storage and disposal instructions		P
	- Recommended charging instructions		P

10	PACKAGING AND TRANSPORT		P
	Packaging for coin cells are not be small enough to fit within the limits of the ingestion gauge of Figure 3	Not coin cells.	N/A

ANNEX A	CHARGING AND DISCHARGING RANGE OF SECONDARY LITHIUM ION CELLS FOR SAFE USE		P
A.1	General		P
A.2	Safety of lithium ion secondary battery	Complied.	P
A.3	Consideration on charging voltage	Complied.	P
A.3.1	General		P
A.3.2	Upper limit charging voltage	4.2V applied.	P
A.3.2.1	General		P
A.3.2.2	Explanation of safety viewpoint		N/A
A.3.2.3	Safety requirements, when different upper limit charging voltage is applied	4.2V applied.	N/A
A.4	Consideration of temperature and charging current		P
A.4.1	General		P
A.4.2	Recommended temperature range	See A.4.2.2.	P
A.4.2.1	General		P
A.4.2.2	Safety consideration when a different recommended temperature range is applied	Charging temperature declared by client is: 0~45°C	P
A.4.3	High temperature range	Not higher than the temperature specific in this standard.	N/A
A.4.3.1	General		N/A
A.4.3.2	Explanation of safety viewpoint		N/A
A.4.3.3	Safety considerations when specifying charging conditions in the high temperature range		N/A
A.4.3.4	Safety considerations when specifying a new upper limit in the high temperature range		N/A
A.4.4	Low temperature range	Charging low temperature declared by client is: 0°C	P





IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
A.4.4.1	General		P
A.4.4.2	Explanation of safety viewpoint		P
A.4.4.3	Safety considerations, when specifying charging conditions in the low temperature range	0°C applied.	P
A.4.4.4	Safety considerations when specifying a new lower limit in the low temperature range	No documents provided by manufacturer explaining the lower limit exceed 10°C, 0°C applied for testing in this report for safety consideration.	P
A.4.5	Scope of the application of charging current		P
A.4.6	Consideration of discharge		P
A.4.6.1	General		P
A.4.6.2	Final discharge voltage and explanation of safety viewpoint		P
A.4.6.3	Discharge current and temperature range		P
A.4.6.4	Scope of application of the discharging current		P
A.5	Sample preparation		P
A.5.1	General		P
A.5.2	Insertion procedure for nickel particle to generate internal short		P
A.5.3	Disassembly of charged cell		P
A.5.4	Shape of nickel particle		P
A.5.5	Insertion of nickel particle in cylindrical cell		N/A
A.5.5.1	Insertion of nickel particle in winding core		N/A
A.5.5.2	Marking the position of the nickel particle on both ends of the winding core of the separator		N/A
A.5.6	Insertion of nickel particle in prismatic cell		P
A.6	Experimental procedure of the forced internal short-circuit test		P
A.6.1	Material and tools for preparation of nickel particle		P
A.6.2	Example of a nickel particle preparation procedure		P
A.6.3	Positioning (or placement) of a nickel particle		P
A.6.4	Damaged separator precaution		P
A.6.5	Caution for rewinding separator and electrode		P
A.6.6	Insulation film for preventing short-circuit		P
A.6.7	Caution when disassembling a cell		P
A.6.8	Protective equipment for safety		P
A.6.9	Caution in the case of fire during disassembling		P
A.6.10	Caution for the disassembling process and pressing the electrode core		P
A.6.11	Recommended specifications for the pressing device		P

ANNEX B	RECOMMENDATIONS TO EQUIPMENT MANUFACTURERS AND BATTERY ASSEMBLERS	N/A
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IEC 62133-2			
Clause	Requirement + Test	Result - Remark	Verdict
ANNEX C	RECOMMENDATIONS TO THE END-USERS		N/A
ANNEX D	MEASUREMENT OF THE INTERNAL AC RESISTANCE FOR COIN CELLS		N/A
D.1	General	Not coin cells	N/A
D.2	Method		N/A
	A sample size of three coin cells is required for this measurement.....:	(See appended table D.2)	N/A
	Coin cells with an internal resistance of less than or equal to 3 Ω are subjected to the testing according to Clause 6 and Table 1		N/A
	Coin cells with an internal resistance greater than 3 Ω require no further testing		N/A
ANNEX E	PACKAGING AND TRANSPORT		N/A
ANNEX F	COMPONENT STANDARDS REFERENCES		N/A





TABLE: Critical components information					P
Object/part no.	Manufacturer/ trademark	Type/model	Technical data	Standard	Mark(s) of conformity ¹⁾
Cell		602040	3.7V, 400mAh	IEC 62133-2:2017, IEC 62133-2:2017/AMD1:2021	Tested with appliance
- Positive Electrode	--	--	LiCoO ₂ , NMP, PVDF, Conductive Additive, Aluminum Foil	--	--
- Negative Electrode	--	--	Graphite, CMC, SBR, Conductive Additive, Copper Foil	--	--
- Electrolyte	--	--	LiPF ₆ +EMC+EC+DEC	--	--
- Separator	--	--	12μm, PP, Shutdown Temperature: 130°C	--	--
Protection IC (U1)	Shenzhen Developer Microelectronics Co., Ltd	DW01	Overcharge Protection Voltage: 4.25±0.05V, Over-discharge Protection Voltage: 2.4±0.1V, T _{opr} : -40°C~+85°C	--	Tested with appliance
MOSFET (U2, U3)	Shenzhen Developer Microelectronics Co., Ltd	8205A	V _{DS} :20V, V _{GS} : ±12V, I _D :6A, T _J : -55°C~+150°C	--	Tested with appliance
PCB	SHENZHEN Aishengxin Electronic CO LTD	ASX-M	130°C	--	--
Wire	DongGuan Cheng XingElectronic CO.,Ltd	1007	22AWG, 80°C, 300V	--	--
Supplementary information:					
¹⁾ Provided evidence ensures the agreed level of compliance.					





7.2.1	TABLE: Continuous charging at constant voltage (cells)				P
Sample no.	Recommended charging voltage Vc (Vdc)	Recommended charging current I _{rec} (mA)	OCV before test (Vdc)	Results	
Cell #1	4.2	200	4.19	P	
Cell #2	4.2	200	4.19	P	
Cell #3	4.2	200	4.19	P	
Cell #4	4.2	200	4.18	P	
Cell #5	4.2	200	4.19	P	
Supplementary information: - No fire or explosion - No leakage					

7.3.1	TABLE: External short-circuit (cells)					P
Sample no.	Ambient T (°C)	OCV before test (Vdc)	Resistance of circuit (mΩ)	Maximum case temperature rise ΔT, (°C)	Results	
Samples charged at charging temperature upper limit (45°C)						
Cell #6	55.4	4.17	84	120.5	P	
Cell #7	55.4	4.18	86	117.8	P	
Cell #8	55.4	4.17	85	119.2	P	
Cell #9	55.4	4.17	84	122.3	P	
Cell #10	55.4	4.18	88	118.5	P	
Samples charged at charging temperature lower limit (0°C)						
Cell #11	55.5	4.12	83	121.4	P	
Cell #12	55.5	4.12	82	117.5	P	
Cell #13	55.5	4.12	88	119.3	P	
Cell #14	55.5	4.11	85	122.3	P	
Cell #15	55.5	4.11	87	120.7	P	
Supplementary information:						
- No fire or explosion						





7.3.2	TABLE: External short-circuit (batteries)					P
Sample no.	Ambient (°C)	OCV before test (Vdc)	Resistance of circuit (mΩ)	Maximum case temperature rise ΔT , (°C)	Component single fault condition	Results
Battery #4	23.1	4.17	85	105.4	MOSFET (U2) Short circuit	P
Battery #5	23.1	4.18	87	107.2	MOSFET (U2) Short circuit	P
Battery #6	23.1	4.17	84	110.3	MOSFET (U2) Short circuit	P
Battery #7	23.1	4.17	86	108.4	MOSFET (U2) Short circuit	P
Battery #8	23.1	4.17	85	23.5	--	P
Supplementary information: - No fire or explosion						

7.3.5	TABLE: Crush (cells)				P
Sample no.	OCV before test (Vdc)	OCV at removal of crushing force (Vdc)	Maximum force applied to the cell during crush (kN)	Results	
Samples charged at charging temperature upper limit (45°C)					
Cell #29	4.17	4.17	13	P	
Cell #30	4.17	4.17	13	P	
Cell #31	4.17	4.17	13	P	
Cell #32	4.17	4.17	13	P	
Cell #33	4.17	4.17	13	P	
Samples charged at charging temperature lower limit (0°C)					
Cell #34	4.11	4.11	13	P	
Cell #35	4.11	4.11	13	P	
Cell #36	4.12	4.12	13	P	
Cell #37	4.12	4.12	13	P	
Cell #38	4.11	4.11	13	P	
Supplementary information:					
- No fire or explosion					





7.3.6	TABLE: Over-charging of battery				P
Constant charging current (A).....:			0.8	—	
Supply voltage (Vdc).....:			5.88	—	
Sample no.	OCV before charging (Vdc)	Total charging time (minute)	Maximum outer case temperature (°C)	Results	
Battery #12	3.32	120	33.5	P	
Battery #13	3.35	120	35.2	P	
Battery #14	3.37	120	34.4	P	
Battery #15	3.32	120	33.7	P	
Battery #16	3.34	120	37.3	P	
Supplementary information:					
- No fire or explosion					

7.3.7	TABLE: Forced discharge (cells)				P
Sample no.	OCV before application of reverse charge (Vdc)	Measured reverse charge I _t (mA)	Lower limit discharge voltage (Vdc)	Results	
Cell #39	3.35	400	2.75	P	
Cell #40	3.36	400	2.75	P	
Cell #41	3.38	400	2.75	P	
Cell #42	3.33	400	2.75	P	
Cell #43	3.32	400	2.75	P	
Supplementary information:					
- No fire or explosion					





7.3.8.1		TABLE: Vibration				P
Sample no.	OCV before test (Vdc)	OCV after test (Vdc)	Mass before test (g)	Mass after test (g)	Results	
Battery #17	4.17	4.17	10.157	10.157	P	
Battery #18	4.17	4.17	10.144	10.144	P	
Battery #19	4.17	4.17	10.132	10.132	P	
Supplementary information: - No fire or explosion - No rupture - No leakage - No venting						

7.3.8.2		TABLE: Mechanical shock				P
Sample no.	OCV before test (Vdc)	OCV after test (Vdc)	Mass before test (g)	Mass after test (g)	Results	
Battery #20	4.17	4.17	10.087	10.087	P	
Battery #21	4.17	4.17	10.162	10.162	P	
Battery #22	4.17	4.17	10.077	10.077	P	
Supplementary information: - No fire or explosion - No rupture - No leakage - No venting						





7.3.9	TABLE: Forced internal short circuit (cells)					P
Sample no.	Chamber ambient T (°C)	OCV before test (Vdc)	Particle location ¹⁾	Maximum applied pressure (N)	Results	
Samples charged at charging temperature upper limit (45°C)						
Cell #44	45	4.17	1	400	P	
Cell #45	45	4.17	1	400	P	
Cell #46	45	4.17	1	400	P	
Cell #47	45	4.17	1	400	P	
Cell #48	45	4.17	1	400	P	
Samples charged at charging temperature lower limit (0°C)						
Cell #49	0	4.12	1	400	P	
Cell #50	0	4.12	1	400	P	
Cell #51	0	4.11	1	400	P	
Cell #52	0	4.11	1	400	P	
Cell #53	0	4.12	1	400	P	
Supplementary information:						
¹⁾ Identify one of the following:						
1: Nickel particle inserted between positive and negative (active material) coated area.						
2: Nickel particle inserted between positive aluminium foil and negative active material coated area.						
- No fire						

D.2	TABLE: Internal AC resistance for coin cells				N/A
Sample no.	Ambient T (°C)	Store time (h)	Resistance Rac (Ω)	Results ¹⁾	
Supplementary information:					

-- End of Report --



Attachment 1

Photo Documentation



Page 1 of 3

Report No.LCSA05095121S

Product: Li-ion Battery

Type Designation: 602040

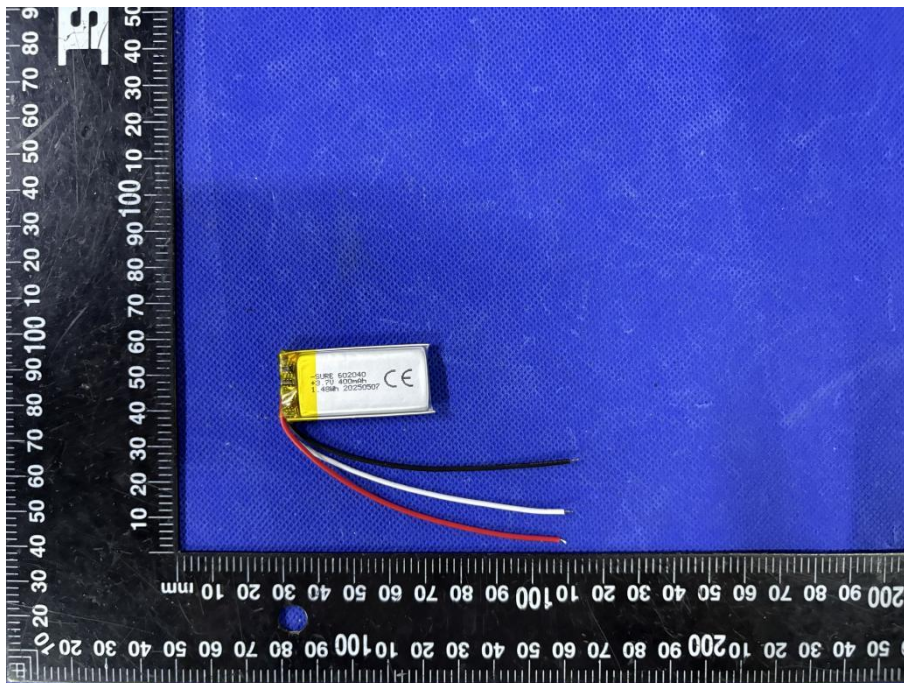


Figure 1 Front view of battery

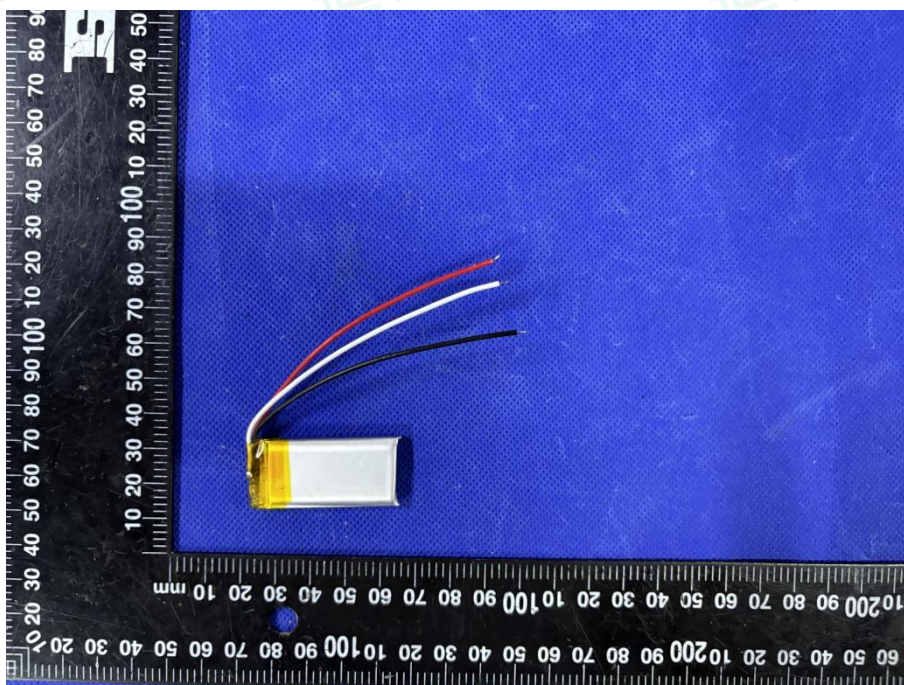


Figure 2 Back view of battery



Attachment 1

Photo Documentation



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Report No.LCSA05095121S

Product: Li-ion Battery

Type Designation: 602040

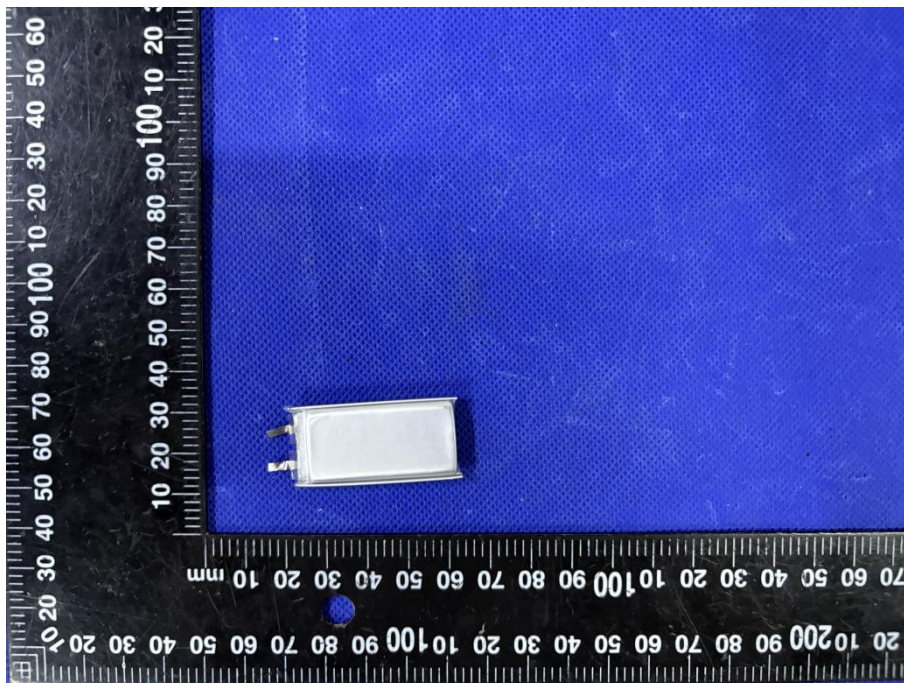


Figure 3 Front view of cell

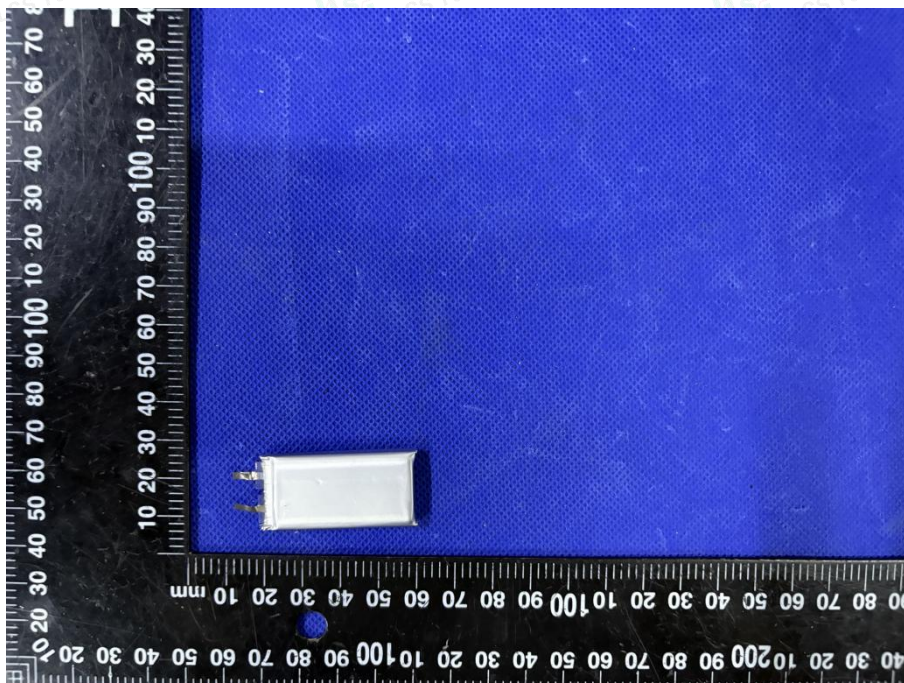


Figure 4 Back view of cell



Attachment 1

Photo Documentation



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Report No.LCSA05095121S

Product: Li-ion Battery

Type Designation: 602040

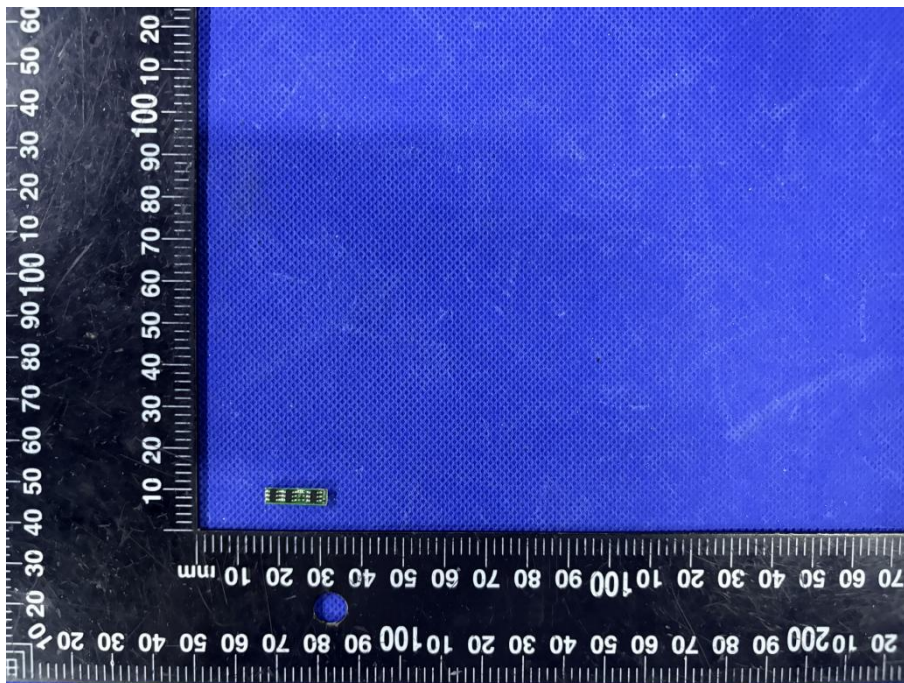


Figure 5 Front view of PCM

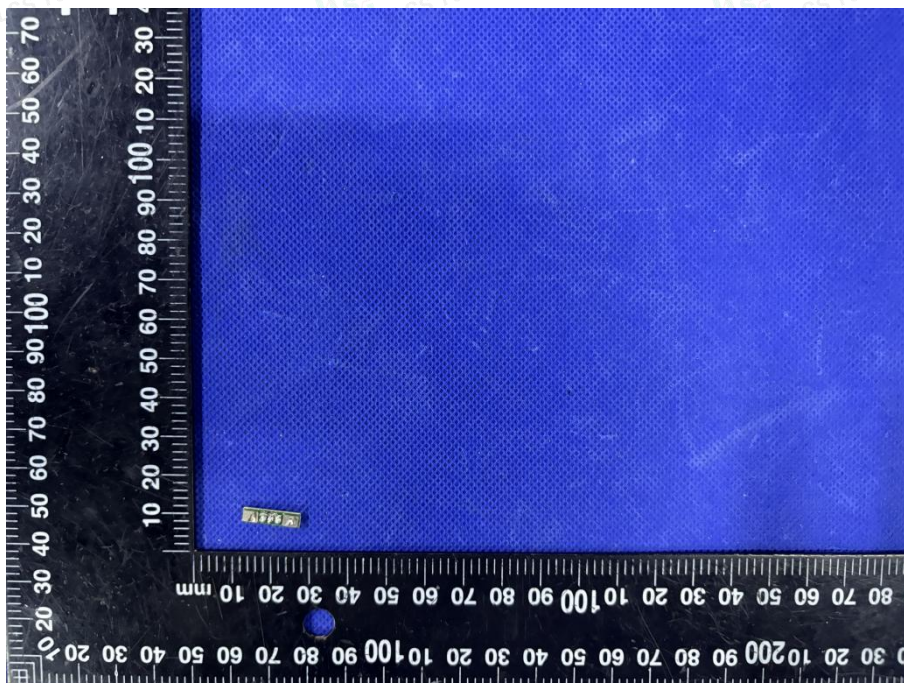


Figure 6 Back view of PCM

